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#### REVISTA INCLUSIONES REVISTA DE HUMANIDADES VCIENCIAS SOCIALES

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## CUADERNOS DE SOFÍA EDITORIAL

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#### INTERNATIONAL ASPECTS OF THE SPANISH CIVIL WAR, 1936-1939 AND THE EVOLUTION OF ANGLO-GERMAN RELATIONS ON THE EVE OF WORLD WAR II

Dr. Vera Vladimirovna Malav Belgorod State University, Russia ORCID: 0000-0001-5699-4989 malay\_v@bsu.edu.ru Dr. (C) Svetlana Yurievna Krupskava Belgorod State University, Russia ORCID: 0000-0002-4850-9573 krupskava@bsu.edu.ru Ph. D. (C) Olga Alexeevna Timoshkova Belgorod State University, Russia ORCID: 0000-0003-4087-6843 timoshkova@bsu.edu.ru Dr. (C) Nickolay Nickolaevich Fomichev Belgorod State University, Russia ORCID: 0000-0002-7166-7553 fomichev@bsu.edu.ru Dr. (C) Marina Sergeevna Orechova Belgorod State University, Russia ORCID: 0000-0002-0744-0258 orechova@bsu.edu.ru

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#### Abstract

The article, based on an analysis of British foreign policy documents and materials of the Archive of the Foreign Policy of the Russian Federation, examines Anglo-German relations in the second half of the 1930s. It reveals how official London in the context of the internationalization of the Spanish Civil War, 1936-1939 built its relations with Berlin, which has failed to achieve in bilateral and international relations and why.

#### Keywords

Spanish Civil War - 1936-1939 - The policy of Non-intervention - Anglo-German relations

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#### Introduction

The Spanish Civil War, 1936-1939 stands out among the dramas of modern times. The plans of the Spanish political reaction, which revolted an uprising against the government of the Popular Front in July 1936, were frustrated by the remaining military units loyal to the Republicans and armed militia. With relative equality of arms, the rebellion escalated into a Civil War.

In Europe, the second half of the 1930s, in an atmosphere of acute political and ideological polarization, a local conflict (all the more, with a pronounced anti- or pro-fascist context) could not preserve only its internal content. The basis for its internationalization was the appeal in July 1936 for foreign assistance: first, the Republic asked France, and a little later the rebels asked the governments of Italy and Germany. The proclamation by the leading European states of the policy of Non-intervention (August 1936) can be considered another factor in the internationalization of the Spanish Civil War. The beginning of Soviet military assistance to the Spanish Republic (early October 1936) marked the next stage.

The globalization of the Spanish problem has had a significant impact on relations between the leading European countries. The multifaceted struggle over the Spanish Civil war did not contribute to the solution of the Spanish question, nor to the mitigation of the problems of the pre-war crisis.

In the second half of the 1930s, relations with Nazi Germany were one of the priorities of the European strategy for Great Britain. The rapid and profound internationalization of the Spanish civil war became an important international problem in the context of which Britain had to construct relations with Germany as well. It extended to the policy of Non-intervention in Spanish Affairs and to Anglo-German bilateral relations proper.

#### Methods

The research methodology of the study is based on the principle of historicism. The paper also used the principle of objectivity, which assumes that historical reality is objective, sources and facts have objective content and historical comprehension, which allows to recreate the historical picture of the past. The realization of this research would not have been possible without the use of a set of various general scientific methods (analysis, synthesis, induction and deduction) and special methods of historical science: historical and genetic method, which allowed to see Anglo-German relations in the connection of international aspects of the Spanish Civil War; comparative-historical, which helped to examine and compare two political views to the Spanish problems; problem-chronological, used to divide the studied problem into a number of smaller ones; descriptive-narrative, applied to the disclosure of the historical context of the period under consideration.

#### Results and discussion

The conflict in Spain immediately followed an acutely confrontational scenario. Italy and Germany quickly defined their attitude towards the Spanish drama, interfering in the

summer of 1936, and supporting the rebels. France and Great Britain considered the "neutral" position to be the best option - non-interference.

In the notion of localization of the conflict, in addition to limiting its framework, England, like France, included the tasks of maintaining and strengthening its position, both behind the Pyrenees and in Europe as a whole, and Italy with Germany - their expansion. According to British diplomacy and intelligence, the army and the Ministry of Foreign Affairs, were most interested in the Spanish adventure of the German ruling circles. German politics represented hardly the most "pragmatic reading of the Spanish situation"<sup>1</sup>.

To be sure, European leaders were aware that "Spanish events created a large number of complications and conflicts in Europe"<sup>2</sup>. But the understanding of the forms and methods of achieving localization of the Spanish conflict among the leading participants of the policy of "non-intervention" did not coincide. Germany and Italy, for the speedy conclusion of the war, sided with the rebels. Official London and Paris did not openly support any side, but the sympathies of the British cabinet gradually crystallized in the direction of the Francists.

The head of the British Foreign Ministry, A. Eden, with the beginning of Spanish events has repeatedly stated that one of the main dangerous consequences of the war on the Pyrenean Peninsula was the possible division of Europe into two opposing camps. British task was to prevent such a development<sup>3</sup>.

Official Berlin, in its rhetoric, immediately outlined and greatly confused the ideological aspect of armed confrontation in Spain. The concern of the Reich leadership about the spread of left-wing currents in connection with Spanish events has been repeatedly confirmed by British diplomats<sup>4</sup>. The Soviet ambassador in Germany Y. Suritz reported to Moscow in early of August, 1936 that "Germany did not hide the definitely hostile attitude towards us and more and more openly put a break with us a condition of rapprochement with it"<sup>5</sup>. At the beginning of the war in Spain, the leadership of the Foreign Ministry did not reject the possibility of using anti-communism as one of the starting points for dialogue with Germany. In mid-August 1936 R. Vansittart, on the results of its trip in Berlin, noted that Hitler, despite all his fanatical rejection of communism and the USSR, was a politician, versed soberly in the subtleties of the current international situation<sup>6</sup>. The UK's deputy Secretary for Ministry of Foreign Affairs concluded that he and ambassador to Berlin Phipps had generally prepared the ground for further talks with Germany - the German leadership's stereotypes of the British Foreign Office anti-German prejudice were dispelled<sup>7</sup>.

<sup>5</sup> DFP USSR, Moscow, Russia, vol. 19: 389.

<sup>&</sup>lt;sup>1</sup> G. Esenwein y A. Shubert, Spain at War. The Spanish Civil War in Context, 1931-1939 (N.Y.: Addison-Wesley Longman Ltda, 1995).

<sup>&</sup>lt;sup>2</sup> Archive of foreign policy of the Russian Federation (AVP RF), Moscow, Russia, Fund 069, record series 21, file 4: 96-97.

<sup>&</sup>lt;sup>3</sup> AVP RF, Moscow, Russia, Fund 069, record series 21, file 4: 25 y Documents of Foreign Policy of the USSR (DFP USSR), Moscow, Russia, Vol. 19: 679-680.

<sup>&</sup>lt;sup>4</sup> AVP RF, Moscow, Russia, Fund 097, record series 11, file 14: 133 y Documents on British Foreign Policy (DBFP), London, England, 2<sup>nd</sup> ser., vol. 17, doc. 58: 64; doc. 63: 67.

<sup>&</sup>lt;sup>6</sup> AVP RF, Moscow, Russia, Fund 097, record series 11, file 14: 132-133.

<sup>&</sup>lt;sup>7</sup> DBFP, London, England, 2<sup>nd</sup> ser., vol. 17, doc. 85: 92.

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Despite the readiness for dialogue with Nazi leaders, the British leadership was alarmed by Germany 's foreign policy aspirations, voiced by the NSDAP leadership at the party Congress in Nuremberg (September 1936): demands to return German colonies, to change the system of international relations that infringes the Reich<sup>8</sup>. The British press unanimously stated Germany's destructive role in international life. *Manchester Guardian, Daily Herald, News Chronicle* wrote that official Berlin was the main potential aggressor in Europe, and opposed German attempts to isolate the USSR from participation in the international security system. Even the conservative *The Times* claimed that England should not stop the spread of communism to ensure the supremacy of fascism on the continent<sup>9</sup>. Conversations of Soviet diplomats with British politicians and public figures during the autumn of 1936 showed that fears of the growing power of the fascist Reich reinforced anti-German sentiment in England<sup>10</sup>.

The main goal of the British diplomacy concerning Germany as the French ambassador in Berlin A. François-Ponce fairly noticed, was to achieve from the Reich of the obligation not to break stability in Europe<sup>11</sup>. In Vansittart's letter to Eden (on September 21) there was an idea that Great Britain would not begin to consider major for the Reich the question of return of the German colonies until the English leaders are sure "concerning receiving something very real and material in exchange"<sup>12</sup>. Eden's internal Protocol of November 4 was more specific: Britain should induce Berlin to change of a political course in Europe. Without reservation of political conditions it was possible to provoke acceleration of rates of Reich's rearmament and increasing its expansion in Central and South-East Europe<sup>13</sup>. After the famous negotiations between Ciano and Hitler of October 1936, which started the Berlin-Rome Axis, Berlin used a traditional tactical move: Ministry of Foreign Affairs' officials were obliged to convey to all interested foreign political circles the idea of the inviolability for Germany of Spain's territorial indivisibility<sup>14</sup>. Eden's speech in Parliament on November 5, 1936 was to outline the contours of future cooperation between London and Berlin: this friendship could not be exceptional; secondly, it could not be directed against anyone<sup>15</sup>. Two weeks later, the head of the English Foreign Ministry said that Britain was ready for an agreement with Germany if it at least partially renounced its policy of weapons and aggressive intentions<sup>16</sup>. At the end of 1936, the process of normalization of German-British relations was mothballed due to a significant divergence of positions on leading European problems, including the Spanish question. British diplomacy switched to preparation the famous "Gentlemen's agreement" with Italy. During November 1936 - January 1937, Germany continued to focus London's attention on the fact that the position of the Reich in Spain was determined solely by the fear of establishing a communist regime on the Iberian Peninsula, and the victory of the rebels will also be in the interests of Great Britain<sup>17</sup>.

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<sup>&</sup>lt;sup>8</sup> DFP USSR, Moscow, Russia, vol. 19: 422-426.

<sup>&</sup>lt;sup>9</sup> AVP RF, Moscow, Russia, Fund 69, record series 24, file 5: 124.

<sup>&</sup>lt;sup>10</sup> AVP RF, Moscow, Russia, Fund 69, record series 24, file 5: 102-103.

<sup>&</sup>lt;sup>11</sup> Documents Diplomatiques français (DDF), France, Paris, 1932-1939, 2<sup>e</sup> ser., vol. 5, doc. 51: 76-78.

<sup>&</sup>lt;sup>12</sup> DBFP, London, England, 2<sup>nd</sup> ser., vol. 17, doc. 220: 303.

<sup>&</sup>lt;sup>13</sup> DBFP, London, England, 2<sup>nd</sup> ser., vol. 17, doc. 350: 498.

<sup>&</sup>lt;sup>14</sup> AVP RF, Moscow, Russia, Fund 082, record series 19, file 4: 117-118, 125, 129-130.

<sup>&</sup>lt;sup>15</sup> AVP RF, Moscow, Russia, Fund 069, record series 21, file 4: 24.

<sup>&</sup>lt;sup>16</sup> AVP RF, Moscow, Russia, Fund 069, record series 25, file 11: 38.

<sup>&</sup>lt;sup>17</sup> AVP RF, Moscow, Russia, Fund 069, record series 21, file 4: 24.

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Berlin's foreign policy was ambiguously evaluated by the Foreign Office. On December 23, 1936 E. Phipps expressed the opinion that that Germany had by then realized that the brilliant period of its bloodless victories, as a result of violations of the Non-intervention Agreement in the affairs of Spain, was over. The British diplomat was sure that Hitler in the future will not risk to attack the rearmed Britain<sup>18</sup>. However, an employee of the Foreign Office O. Sargent (December 29) gave a negative evaluation to the results of German diplomacy activities due to many factors: the prolongation of the Spanish conflict which threatened to Hitler by engaging in a dangerous military adventure; unresolved colonial question: some deviations Italy from the "Axis" course (preparation agreement with Britain). In this regard, Sargent, in contrast to Phipps, believed that the "final brilliant period of bloodless victories" of Germany would not promise England an improvement in the international situation as Berlin in the light of these foreign policy failures would seek diplomatic, and not only successes<sup>19</sup>. According to the correct observation of the Soviet Ambassador in London Maisky, by the end of December 1936, Britain decided to wait for further development of the situation in Spain, in order to determine its position against Germany taking into account this factor<sup>20</sup>.

The difficulty of forecasting the British Foreign office further foreign policy strategy of Nazism was exacerbated by the receipt information from its representatives in Berlin in early January 1937, according to which, the foreign policy of the Reich could undergo significant change. Eden in the beginning of 1937 sagaciously called Spain, Memel, Danzig, Czechoslovakia the most dangerous points on the map of pre-war Europe: "If the German attention is not fixed on Spain, it would be very difficult to restrain the aggression of this country in any of the other three directions". From this conclusion the tactical disposition followed to be complaisant on the Spanish question, in order to gain as much time as possible (in Europe) and to maintain their dominance in colonial and Maritime spaces<sup>21</sup>.

In connection with serious economic difficulties, in the German leadership it was allegedly expressed the opinion that it was necessary to limit Nazi participation in the Spanish conflict - otherwise, the implementation of a 4-year (1936-1940) development plan for the German economy would be threatened. In addition, Hitler's decision to stop aid to Franco was affected by the protracted nature of hostilities and considerations of the General staff, which pointed to Germany's unpreparedness for a European war in the event of an extreme aggravation of contradictions between States with their interests in the Iberian Peninsula<sup>22</sup>.

Hitler's speech on January 30, 1937 virtually erased the hopes of Baldwin Cabinet in the near future to reach an agreement with the German leadership. The Führer made it clear that Germany would not make any concessions in order to achieve stability in Europe<sup>23</sup>. In mid-February, the Reich Minister of Propaganda J. Goebbels confirmed this

DR. VERA VLADIMIROVNA MALAY / DR. (C) SVETLANA YURIEVNA KRUPSKAYA / PH. D. (C) OLGA ALEXEEVNA TIMOSHKOVA DRA. FRANCISCA SILVA HERNÁNDEZ / DR. (C) NICKOLAY NICKOLAEVICH FOMICHEV

<sup>&</sup>lt;sup>18</sup> DBFP, London, England, 2<sup>nd</sup> ser., vol. 17, doc. 503: 721-722.

<sup>&</sup>lt;sup>19</sup> DBFP, London, England, 2<sup>nd</sup> ser., vol. 17, doc. 521: 743.

<sup>&</sup>lt;sup>20</sup> AVP RF, Moscow, Russia, Fund 060, record series 21, file 4: 30-33.

<sup>&</sup>lt;sup>21</sup> DBFP, London, England, 2<sup>nd</sup> ser., vol. 18, doc. 32: 38 y AVP RF, Moscow, Russia, Fund 069, record series 21, file 4: 161.

<sup>&</sup>lt;sup>22</sup> DBFP, London, England, 2<sup>nd</sup> ser., vol. 18, doc. 8: 7-8; doc. 17: 17; doc. 53: 70-72; doc. 59: 80; doc. 72: 95-96.

<sup>&</sup>lt;sup>23</sup> AVP RF, Moscow, Russia, Fund 69, record series 25, file 11, p.39; Fund 069, record series 21, file 4: 37-38.

foreign policy, saying that the Reich did not want a European war, but will not allow the strengthening of Bolshevism on the continent. Commenting on London's efforts to maintain Non-intervention in Spain and achieve stability in Europe, Goebbels said: "Eden is like a child asking for Christmas presents that cannot be found"<sup>24</sup>.

One of England's important tactics in dealing with Germany and Italy against the background of the Spanish conflict was to exploit the differences between them and contribute as much as possible to their divergence. The opinion about the temporary nature of the Italo-German rapprochement was expressed in the Foreign Office at the very beginning of the Spanish events: Ingram in an interview with the Soviet charge d'affaires in Rome Gelfand (12 August 1936) stated that both Hitler and Mussolini did not intend seriously to associate their political future with each other. But maintaining the appearance of rapprochement gave dictators the opportunity to maneuver in relations with other European countries<sup>25</sup>. This belief of the English Foreign Office was fueled by Italian and German diplomats<sup>26</sup>.

5 November 1936, after the signing of the Italy-German agreement and the formation of "Axis" Berlin – Rome, Grandi, a supporter of cooperation between Italy and England, expressed personal opinion to Vansittart: the policy of Mussolini government, aimed at whipping up the English-Italian hostility that was beneficial to the third party, Germany<sup>27</sup>. According to Soviet diplomats, the German foreign Ministry also assured London of the opportunistic nature of its rapprochement with Italy and of its readiness to abandon it in case of friendly steps on the part of England. Moreover, Soviet diplomacy reinforced the Foreign Office's vision of the Axis as an instrument of pressure on the British government, believing that the Italo-German agreement was only a facade, and it would not withstand the clash of interests of these States in Central Europe<sup>28</sup>.

The result of this diplomatic game was a certain conviction of the British Foreign Office that it was possible to take advantage of the contradictions between Germany and Italy and to divide the regimes. On the eve of the signing of the "Gentlemen's agreement," Vansittart believed that the veiled line to separate Rome from Berlin should be continued in order to deal with a "more reasonable Germany" in the future. Eden agreed with this tactical attitude<sup>29</sup>.

The subsequent activation of Italy in the Spanish question did not change fundamentally this attitude of the British government. By mid-April 1937, the leadership of the Foreign Office (Eden, Vansittart, Mounsey) considered the union of dictators as primarily, an instrument of pressure on democratic European states, used by both Hitler and Mussolini. It was assumed that the tactics of maneuvering between Berlin and Rome would bring England maximum benefits in the international arena<sup>30</sup>.

<sup>&</sup>lt;sup>24</sup> DBFP, London, England, 2<sup>nd</sup> ser., vol. 18, doc. 176: 232-233.

<sup>&</sup>lt;sup>25</sup> AVP RF, Moscow, Russia, Fund 098, record series 19, file 658: 116-119.

<sup>&</sup>lt;sup>26</sup> DDF, France, Paris, 2<sup>e</sup> sér., vol. 3, doc. 323: 483.

<sup>&</sup>lt;sup>27</sup> DBFP, London, England, 2<sup>nd</sup> ser., vol. 17, doc. 353: 516-517.

<sup>&</sup>lt;sup>28</sup> DFP USSR, Moscow, Russia, vol. 19: 579 y DBFP, London, England, 2<sup>nd</sup> ser., vol. 17, doc. 516: 735-736.

<sup>&</sup>lt;sup>29</sup> DBFP, London, England, 2<sup>nd</sup> ser., vol. 17, doc. 527: 751-752.

<sup>&</sup>lt;sup>30</sup> DBFP, London, England, 2<sup>nd</sup> ser., vol. 17, Doc. 376, note 1, p. 571; doc. 399: 613-617.

DR. VERA VLADIMIROVNA MALAY / DR. (C) SVETLANA YURIEVNA KRUPSKAYA / PH. D. (C) OLGA ALEXEEVNA TIMOSHKOVA DRA. FRANCISCA SILVA HERNÁNDEZ / DR. (C) NICKOLAY NICKOLAEVICH FOMICHEV

DR. (C) MARINA SERGEEVNA ORECHOVA

But in the first half of 1937, this course demonstrated its ineffectiveness: as Italy's intervention in Spanish events increased, especially after its defeat at Guadalajara (March 1937), Rome's dependence on diplomatic and political support for Berlin grew. Baldwin's government failed to weaken Italian-German relations, despite the fact that both dictatorial States, albeit in varying degrees, but were interested in cooperation with England.

The relatively low activity of Germany in the Spanish question in the spring of 1937 was reflected in the review of German foreign policy, compiled on April 13 by E. Phipps. Ambassador in Berlin, who transferred his powers to N. Henderson, stated that in the first years of the Nazis in power, Hitler in the field of international relations gave priority to the process of normalization of relations with England. However, the growth of German-British contradictions (primarily on the colonial question) and the lack of principled London in the application of sanctions against Italy after the aggression in Abyssinia slightly reduced the prestige of Britain in the views of the German leadership. Phipps concluded that "relations between London and Berlin were now spoiled", and as the main directions of German foreign policy identified: the Anschluss of Austria and other German-speaking territories (Sudetenland of Czechoslovakia); expansion in Eastern Europe; the return of the German colonies<sup>31</sup>.

On May 10, 1937, the new British ambassador to Berlin, N. Henderson, outlined his vision of Anglo-German relations: partnerships with Germany were beneficial for Britain from the standpoint of opposing both the growing influence of the USSR in the Middle East and Asia, and Italy's clear desire to change the existing alignment forces in the Mediterranean. However, at that time the obstacles to rapprochement between Berlin and London were practically insurmountable. The Anglo-German agreement, giving Hitler freedom of action in his priority areas - Central and Eastern Europe, would meet fierce resistance from the British public and the opposition of other states<sup>32</sup>.

Despite the desire of London to maintain a "balance of interests", some rapprochement with Nazi Germany at that time was beneficial both from the point of view of resolving the situation in Spain and from the standpoint of playing on the contradictions between the powers of the "Axis".

By the end of 1937, the appeasement of dictatorial powers, which had become the basis of British foreign policy, had a tangible effect on other areas of the Foreign Office, including on the Spanish problem: the British leadership set the priority task of their actions in the arena to find ways of rapprochement with Germany and Italy.

The position of the Reich on the Spanish question, in particular, its limited intervention in the Spanish Civil War in comparison with Italy, gave the British politicians some reason to expect that there would be no really serious contradictions between London and Berlin on this painful issue. For the Chamberlain government at the end of 1937, it seemed more appropriate to make concessions to Hitler, whose foreign policy ambitions were aimed primarily at Central and Eastern Europe than Mussolini, who claimed to change the status quo in the Mediterranean.

<sup>&</sup>lt;sup>31</sup> DBFP, London, England, 2<sup>nd</sup> ser., vol. 18, doc. 399: 613-617.

<sup>&</sup>lt;sup>32</sup> DBFP, London, England, 2<sup>nd</sup> ser., vol. 19, doc. 53, Enc. 1: 100-104.

DR. VERA VLADIMIROVNA MALAY / DR. (C) SVETLANA YURIEVNA KRUPSKAYA / PH. D. (C) OLGA ALEXEEVNA TIMOSHKOVA DRA. FRANCISCA SILVA HERNÁNDEZ / DR. (C) NICKOLAY NICKOLAEVICH FOMICHEV

DR. (C) MARINA SERGEEVNA ORECHOVA

Ribbentrop, who became German Foreign Minister in February 1938, more actively pursued a course towards rapprochement with Italy. According to Maisky I.M. (January 1937), Ribbentrop, being the German ambassador to London, "showed himself to be a man who completely did not understand and did not know Great Britain<sup>"33</sup>. "The Ribbentrop line is the strengthening of the Rome-Berlin-Tokyo Axis and the weakening of London-Berlin contacts, an even more aggressive policy in Central Europe (Czechoslovakia, Austria) and, most importantly, the intensification of intervention in Spain," the Soviet Ambassador to Berlin. J. Suritz rightly considered<sup>34</sup>.

As it is known, by September 1938 the situation around Czechoslovakia had as a result the Munich Agreement. It became obvious that Chamberlain government's attempts to achieve goals in a policy of appeasement through Non-intervention in Spanish affairs, through compromises with the Nazi and fascist regimes, were unsuccessful. British concessions have led to the fact that the course of Non-intervention has exhausted itself.

Chamberlain stated in Parliament on November 2 that during the Munich meeting, Mussolini and Hitler personally assured him that they had no territorial claims in Spain<sup>35,36</sup>.

The attempts of the German leadership to use the "communist threat" factor, which became especially relevant with the beginning of the Spanish events, for rapprochement between Berlin and London were unsuccessful. Firstly, England did not want the division of Europe into opposing ideological camps to contribute to the onset of continental conflict. Secondly, the aggressiveness of Hitler's foreign policy plans became more and more obvious, as noted above; the German-British contradictions were aggravated (primarily on the colonial issue). If the international aspects of the Spanish problem became the determining factor in the complication of Italian-British relations, in the violation of the constructive dialogue with the Reich they were more indirect. In mid-April 1937, Vansittart declared: "If Germany's foreign policy goals were reasonable and legitimate, it would be foolish to hinder it. The problem is that all German goals are unreasonable and illegitimate"<sup>37</sup>.

Since mid-1937, the German leadership minimized interference in Spanish events, reducing it only to the actions of a limited military contingent and giving Italian diplomacy the initiative in conducting tactical struggle in the London Committee on Non-Intervention in Spain.

#### Conclusions

Germany and Italy, of course, won by excluding Franco from the number of potential allies of England, and France in 1936-1938. But this strategic gain was short-lived. In September 1938, at the height of the Munich crisis, Franco, contrary to the expectations of Berlin and Rome, declared Spanish neutrality in the event of war. Proving the need to strengthen the Union, Hitler and Mussolini have encouraged Franco to join to the Anti-Comintern Pact in March 1939.

<sup>&</sup>lt;sup>33</sup> AVP RF, Moscow, Russia, Fund 069, record series 21, file 4: 29.

<sup>&</sup>lt;sup>34</sup> AVP RF, Moscow, Russia, Fund 011, record series 2, file 165: 53.

<sup>&</sup>lt;sup>35</sup> DDF, France, Paris, 2<sup>e</sup> ser., vol. 12, doc. 247: 425-426.

<sup>&</sup>lt;sup>36</sup> DBFP, France, Paris, 3<sup>rd</sup> ser., vol. 3, doc. 358: 343-344.

<sup>&</sup>lt;sup>37</sup> DBFP, London, England, 2<sup>nd</sup> ser., vol. 18, doc. 396: 609-611.

DR. VERA VLADIMIROVNA MALAY / DR. (C) SVETLANA YURIEVNA KRUPSKAYA / PH. D. (C) OLGA ALEXEEVNA TIMOSHKOVA DRA. FRANCISCA SILVA HERNÁNDEZ / DR. (C) NICKOLAY NICKOLAEVICH FOMICHEV

DR. (C) MARINA SERGEEVNA ORECHOVA

Thus, England and France were deprived of the guaranteed neutral position of Spain in the impending war. But in August 1939 Caudillo reaffirmed his intention to remain neutral, which was perfectly justified from the point of view of the national interests of the country ravaged by deep civil conflict.

Thus, the result of the UK's implementation of the policy of Non-Intervention was not only contributing to the death of the Spanish Republic, but also the growing confidence of the Axis powers in the possibility of achieving their goals in Spain and in Europe as such. As stated on February 19, 1939, M. M. Litvinov in an conversation with the British ambassador in Moscow, W. Seeds, "Hitler and Mussolini are as scared of the war [future] as you are, only now they know from experience that you will never fight".

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DR. VERA VLADIMIROVNA MALAY / DR. (C) SVETLANA YURIEVNA KRUPSKAYA / PH. D. (C) OLGA ALEXEEVNA TIMOSHKOVA DRA. FRANCISCA SILVA HERNÁNDEZ / DR. (C) NICKOLAY NICKOLAEVICH FOMICHEV DR. (C) MARINA SERGEEVNA ORECHOVA